

## Ext and Frobenius, II

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In [D2] we proved the following theorem and derived several important results as applications.

**THEOREM (1.5) [D2].** *Let  $(A, m, k)$  denote a complete equidimensional local ring of characteristic  $p (> 0)$  without any imbedded component,  $m$  its maximal ideal, and let  $k = A/m$  be perfect. Denote by  $F_\bullet = (F_i, d_i)_{i \geq 0}$  a complex of finitely generated free modules with finite length homologies. Write  $F^n(F_\bullet)$  for  $F_\bullet \otimes_A^{f^n} A$  where  $f^n$  is the Frobenius map repeated  $n$ -times ( $f^n: A \rightarrow A$ ,  $f^n(x) = x^{p^n}$ ). Let  $w_{j,n}$  denote the  $j$ th cohomology of  $\text{Hom}(F^n(F_\bullet), N)$  and let  $d = \dim A$ . We have*

- (i) *If  $\dim N < d$ ,  $\lim l(w_{j,n})/p^{nd} = 0$ .*
- (ii) *If  $\dim N = d$ ,*
  - (a) *and if  $j < d$ ,  $\lim l(w_{j,n})/p^{nd} = 0$ ,*
  - (b) *while if  $j = d$ ,  $\lim l(w_{j,n})/p^{nd} = \lim l(F^n(H_0(F_\bullet)) \otimes \tilde{N})/p^{nd}$ .*
  - (c) *If  $j > d$  and if  $S^{-1}\tilde{N}$  is  $S^{-1}A$  free of finite rank,  $\lim l(w_{j,n})/p^{nd} = \lim l(H_{j-d}(F^n(F_\bullet)) \otimes \tilde{N})/p^{nd}$  (here  $S$  denotes the set of all non-zero-divisors of  $A$  and  $\tilde{N}$  stands for  $\text{Hom}_A(H_m^d(N), E(k))$ ).*

In this note our main goal is to prove the above theorem in the most general case (Theorem (1.5)) i.e., to prove the above theorem for *any* complete local ring  $A$  of  $\text{ch } p (> 0)$  with perfect residue field; without requiring  $S^{-1}\tilde{N}$  to be  $S^{-1}A$  free of finite rank, i.e.,  $N$  could be any finitely generated module over  $A$ . The method of proof requires a deeper digging

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into spectral sequences in the light of the Frobenius map. The following result (Proposition 1.3), which I have been looking for a long time, plays a crucial role:

**1.3. PROPOSITION.** *Let  $F_\bullet$  be as described in the statement of the above theorem. Then for any finitely generated module  $N$ ,*

- (i) *if  $\dim N < d$ ,  $\lim l(\text{Tor}_j(H_i(F^n(F_\bullet)), N))/p^{nd} = 0$  for  $i, j \geq 0$ ,*
- (ii) *while if  $\dim N = d$ ,  $\lim l(\text{Tor}_j(H_i(F^n(F_\bullet)), N))/p^{nd} = 0$  for  $j \geq 1, i \geq 0$ .*

As an immediate corollary we derive the following result

**COROLLARY.** *Let  $F_\bullet$  and  $N$  be as above and assume  $\dim N = d$ . Then  $\lim l(H_i(F^n(F_\bullet) \otimes N))/p^{nd} = \lim l(H_i(F^n(F_\bullet)) \otimes N)/p^{nd}$  (note that the left side  $= \lim l(H_i(F_\bullet \otimes^{f^n} N))/p^{nd}$ ).*

Because of the more general nature of our main theorem (1.5), all the applications of the main theorem in [D2] can now be stated more generally. Since the proofs do not change at all, in this paper we mention them in Section 2 without providing any proof. We urge the reader to look up the corresponding proofs in [D2].

*Notations.* Throughout this work  $(A, m, k)$  will denote a complete local ring of dimension  $d (> 0)$  in positive characteristic  $p$ ,  $m$  its maximal ideal, and  $k = A/m$ . We assume  $k$  is perfect. The Frobenius map  $f: A \rightarrow A$ , given by  $f(x) = x^p$  for all  $x \in A$ , is a ring homomorphism. We denote by  ${}^{f^n}A$  the bi-algebra  $A$ , having the structure of an  $A$ -algebra from the left by  $f^n$  and from the right by the identity map, i.e.,  $\alpha \in A, x \in {}^{f^n}A$ ,  $\alpha x = \alpha^{p^n}x$ , and  $x \cdot \alpha = x\alpha$ .  $F_\bullet = (f_i, d_i)_{i \geq 0}$  will stand for a complex of finitely generated free modules with homologies of finite length. We write  $F^n(F_\bullet)$  for  $F_\bullet \otimes {}^{f^n}A$ ; given any  $A$ -module  $M$ ,  $F^n(M)$  for  $M \otimes {}^{f^n}A$ , and given any finitely generated module  $N$ ,  $\tilde{N}$  for  $\text{Hom}(H_m^d(N), E)$  where  $E$  denotes the injective hull of  $k$  over  $A$ . For any sequence  $\{a_n\}$ ,  $\lim a_n$  will denote the limit of  $\{a_n\}$  as  $n \rightarrow \infty$  (when it exists!). Unless mentioned,  $\text{Tor}$  and  $\text{Ext}$  are computed over  $A$  and  $A$  is dropped from the notations of both  $\text{Tor}_i^A(-, -)$  and  $\text{Ext}_A^i(-, -)$ .

# 1

**1.1. LEMMA.** *Let  $D_n = (D_n^{r,s})_{r,s,n \geq 0}$  be a sequence of double complexes. Let us fix an integer  $t$ . We write  $H_{t,n}$  to denote the  $t$ th homology of  $D_n$  and  $E_{2,n}^{r,s}$  to denote the  $E_2^{r,s}$  term of a spectral sequence (corresponding to horizontal or vertical filtration) of  $D_n$  converging to  $H_{t,n}$ . We assume  $l(E_{2,n}^{r,s}) < \infty$  for all  $r, s$ , and  $n$ .*

- (i) *Suppose that for  $r, s$  with  $r + s = t$ ,  $\lim l(E_{2,n}^{r,s})/p^{nd} = 0$ . Then  $\lim l(H_{t,n})/p^{nd} = 0$ .*

(ii) Suppose that for  $r, s$  with  $r + s = t$  except for a particular value of  $s$ , say  $s = s'$ , all limits  $\lim l(E_{2,n}^{r,s})/p^{nd} = 0$  and  $\lim l(E_{2,n}^{r,s'})/p^{nd} > 0$ ; moreover suppose for  $i \geq 2$  if  $B_{i,s',n}$  denotes the image of  $E_{i,n}^{r-s',s'+i-1}$  in  $E_{i,n}^{r,s'}$  and  $Q_{i,s',n}$  denotes the image of  $E_{i,n}^{r,s'}$  in  $E_{i,n}^{t-s',s'+i-1}$ , that both  $\lim l(B_{i,s',n})/p^{nd}$  and  $\lim l(Q_{i,s',n})/p^{nd}$  are 0. Then

$$\lim l(H_{t,n})/p^{nd} = \lim l(E_{2,n}^{t-s',s'})/p^{nd}.$$

*Proof.* (i) Since  $l(H_{t,n}) = \sum_{r+s=t} l(E_{\infty,n}^{r,s})$  and  $l(E_{\infty,n}^{r,s}) \leq l(E_{2,n}^{r,s})$ , the proof for this part is immediate.

(ii) Consider  $0 \rightarrow B_{2,s',n} \rightarrow E_{2,n}^{t-s',s'} \rightarrow Q_{2,s',n} \rightarrow 0$ ; the homology in the middle is  $E_{3,n}^{t-s',s'}$ . Since both  $\lim l(B_{2,s',n})/p^{nd}$  and  $\lim l(Q_{2,s',n})/p^{nd}$  are 0, it follows that  $\lim l(E_{3,n}^{t-s',s'})/p^{nd} = \lim l(E_{2,n}^{t-s',s'})/p^{nd}$ . Continuing similar arguments for a finite number of steps, we conclude that  $\lim l(E_{\infty,n}^{t-s',s'})/p^{nd} = \lim l(E_{2,n}^{t-s',s'})/p^{nd}$ . Now for  $s \neq s'$ ,  $r + s = t$ , it is immediate that  $\lim l(E_{\infty,n}^{r,s})/p^{nd} = 0$ .

As  $l(H_{t,n}) = \sum_{r+s=t} l(E_{\infty,n}^{r,s})$ , we get the required conclusion from the above arguments.

**1.2. PROPOSITION.** Let  $M$  be a module of finite length and let  $N$  be any finitely generated module. We have

- (i) If  $\dim N < d$ , then  $\lim l(\text{Tor}_i(F^n(M), N))/p^{nd} = 0$  for  $i \geq 0$ .
- (ii) If  $\dim N = d$ , then  $\lim l(\text{Tor}_i(F^n(M), N))/p^{nd} = 0$  for  $i > 0$ .

*Proof.* (i) Let  $x$  be a parameter contained in  $\text{ann}_A N$ . Because of the existence of a short exact sequence

$$0 \rightarrow N' \rightarrow (A/xA)^s \rightarrow N \rightarrow 0$$

it is enough to show that  $\lim l(\text{Tor}_i(F^n(M), A/xA))/p^{nd} = 0$ . Write  $\bar{A} = A/xA$ ,  $\bar{M} = M/xM$ . Observe that  $\text{Tor}_0(F^n(M), A/xA) = F_A^n(\bar{M})$  and  $l(\text{Tor}_1(F^n(M), \bar{A})) \leq l((0:x)F^n(M)) \leq l(F_A^n(\bar{M}))$ . Since  $\dim \bar{A} = d - 1$ ,  $\lim l(F_A^n(\bar{M}))/p^{nd} = 0$  and hence  $\lim l(\text{Tor}_1(F^n(M), \bar{A}))/p^{nd} = 0$ . Assume  $\lim l(\text{Tor}_i(F^n(M), \bar{A}))/p^{nd} = 0$  for  $\forall i \leq j$ . Then we observe that for any finitely generated  $A$ -module  $T$  such that  $xT = 0$ ,  $\lim l(\text{Tor}_i(F^n(M), T))/p^{nd} = 0$  for  $i \leq j$ . This is obvious by applying  $\otimes_A F^n(M)$  to the exact sequence

$$0 \rightarrow T' \rightarrow (\bar{A})^n \rightarrow T \rightarrow 0$$

and considering the long exact sequence of Tor's.

Now consider the exact sequences

$$0 \rightarrow (0:x)_A \rightarrow A \rightarrow xA \rightarrow 0$$

and

$$0 \rightarrow xA \rightarrow A \rightarrow A/xA \rightarrow 0.$$

It is clear that  $\ell(\text{Tor}_i(F^n(M), \bar{A})) = l(\text{Tor}_{i-2}(F^n(M), (0:x)A))$  for  $i > 2$  and  $l(\text{Tor}_2(F^n(M), \bar{A})) \leq l(F^n(M) \otimes (0:x)A)$ . Thus we are done, by the observation above.

(ii) Consider the exact sequence

$$0 \rightarrow N' \rightarrow A^s \rightarrow N \rightarrow 0.$$

We note, by a proposition in [S, Proposition 1, Sect. 3], that  $l(\text{Tor}_1(F^n(M), N))$  is a polynomial function of degree at most  $(d-1)$ . Hence  $\lim l(\text{Tor}_1(F^n(M), N))/p^{nd} = 0$ . Thus, from the short exact sequence above, it follows that  $\lim l(\text{Tor}_i(F^n(M), N))/p^{nd} = 0$  for  $i > 0$ .

**1.3. PROPOSITION.** *Let  $F_\bullet$  be as in the notation (i.e., a complex of finitely generated free modules with finite length homologies). Then for any finitely generated module  $N$ ,*

- (i)  $\dim N < d, \lim l(\text{Tor}_j(H_i(F^n(F_\bullet)), N))/p^{nd} = 0$  for  $i, j \geq 0$  and
- (ii)  $\dim N = d, \lim l(\text{Tor}_j(H_i(F^n(F_\bullet)), N))/p^{nd} = 0$  for  $j \geq 1, i \geq 0$ .

*Proof.* Write  $h_{in}$  to denote  $H_i(F^n(F_\bullet))$ .

(i) Choose a parameter  $x \in \text{ann}_A N$  and let  $\bar{A} = A/xA$ . Note that it is enough to show that  $\lim l(\text{Tor}_j(H_{in}, \bar{A}))/p^{nd} = 0$ . Since  $\dim \bar{A} = d-1$ , we have, by Proposition 1.2,  $\lim l(\text{Tor}_i(H_{0n}, \bar{A}))/p^{nd} = 0$ .

Now consider the double complex  $D_{\bullet\bullet}^n$  obtained by tensoring  $F^n(F_\bullet)$  with a free resolution  $L_\bullet$  of  $\bar{A}$  over  $A$ . We have the commutative diagram

$$\begin{array}{ccccc} & \downarrow & & \downarrow & \\ \rightarrow & F^n(F_i) \otimes L_j & \rightarrow & F^n(F_{i-1}) \otimes L_j & \rightarrow \\ & \downarrow & & \downarrow & \\ \rightarrow & F^n(F_i) \otimes L_{j-1} & \rightarrow & F^n(F_{i-1}) \otimes L_{j-1} & \rightarrow \\ & \downarrow & & \downarrow & \end{array} \quad (1)$$

Note that the vertical columns are all exact except at  $j=0$ . Starting first with the horizontal rows we see that  $E_2^{i,j} = \text{Tor}_j(H_{in}, \bar{A})$ .

We deal with  $H_{1n}$  first. Consider the exact sequence obtained from the spectral sequence above

$$\text{Tor}_2(H_{0n}, \bar{A}) \rightarrow H_{1n} \otimes \bar{A} \rightarrow H_1(F^n(F_\bullet) \otimes \bar{A}) \rightarrow \text{Tor}_1(H_{0n}, \bar{A}) \rightarrow 0.$$

This implies, by Proposition 1.2, that

$$\lim l(H_{1n} \otimes \bar{A})/p^{nd} = \lim l(H_1(F^n(F_\bullet) \otimes \bar{A}))/p^{nd} = 0,$$

since  $\dim \bar{A} < d$ .

Moreover,  $l(\text{Tor}_1(H_{1n}, \bar{A})) \leq l((0 : x)H_{1n}) \leq l(H_{1n} \otimes \bar{A})$ . Hence  $\lim l(\text{Tor}_1(H_{1n}, \bar{A}))p^{nd} = 0$ . This implies that for all modules  $T$  such that  $xT = 0$ ,  $\lim l(\text{Tor}_i(H_{1n}, T))/p^{nd} = 0$  for  $i = 0, 1$ . Consider the exact sequences

$$0 \rightarrow (0 : x)_A \rightarrow A \rightarrow xA \rightarrow 0$$

and

$$0 \rightarrow xA \rightarrow A \rightarrow \bar{A} \rightarrow 0.$$

Since  $\text{Tor}_i(H_{1n}, \bar{A}) \simeq \text{Tor}_{i-2}(H_{1n}, (0 : x)_A)$  for  $i > 2$  and  $l(\text{Tor}_2(H_{1n}, \bar{A})) \leq l(H_{1n} \otimes (0 : x)_A)$ , we are done, by inducting on  $i$ .

Now suppose we have proved that for all  $t \leq i$ ,  $\lim l(\text{Tor}_j(H_{tn}, \bar{A}))/p^{nd} = 0$  for all  $j \geq 0$ . We will be done, by induction on  $i$ , if we can show that this implies that  $\lim l(\text{Tor}_j(H_{i+1,n}, \bar{A}))/p^{nd} = 0$  for all  $j \geq 0$ .

Note that it is enough to prove the above assertion for  $j = 0$ . For then, by repeating the process used in proving the case of  $H_{1n}$ , we will be done.

The  $E_2^{p,q}$  terms which converge to  $H_{i+1}(D_{\bullet\bullet}^n) = H_{i+1}(F^n(F_\bullet) \otimes \bar{A})$  are the following:  $\text{Tor}_0(H_{i+1,n}, \bar{A}), \text{Tor}_1(H_{in}, \bar{A}), \dots, \text{Tor}_{i+1}(H_{0n}, \bar{A})$ . By induction on  $i$ , the length of each, except the first one, in the above list tends to 0 in the limit. Also  $\lim l(H_{i+1}(F^n(F_\bullet) \otimes \bar{A}))/p^{nd} = 0$  as noted earlier (a polynomial in  $n$  of degree  $\leq d - 1$ ). Moreover in the spectral sequence, quotients of  $E_2^{0,i+1}, E_3^{0,i+1}$ , etc., will be formed modulo the images of submodules of  $\text{Tor}_2(H_{in}, \bar{A}), \text{Tor}_3(H_{i-1,n}, \bar{A})$ , etc., the length of each

of which tends to 0 in the limit. Hence by Lemma 1.1,  $\lim l(H_{i+1,n} \otimes \bar{A})/p^{nd} = 0$ .

(ii) In Proposition 1.2, we already established that  $\lim l(\text{Tor}_j(H_{0n}, N))/p^{nd} = 0$  for  $j \geq 1$ . As in the case of (ii) of Proposition 1.2, it is enough to show that  $\lim l(\text{Tor}_1(H_{in}, N))/p^{nd} = 0 \forall i > 0$ . Let us consider the double complex  $D_{\bullet\bullet}^n$  obtained by tensoring  $F^n(F_\bullet)$  with a minimal free resolution  $L_\bullet$  of  $N$ . Similar diagrams and spectral sequence, as in (i) above, follow.

First we consider  $H_{1n}$ . We have the exact sequence

$$\rightarrow \text{Tor}_2(H_{0n}, N) \rightarrow H_{1n} \otimes N \rightarrow H_1(F^n(F_\bullet) \otimes N) \rightarrow \text{Tor}_1(H_{0n}, N) \rightarrow 0.$$

Since  $\lim l(\text{Tor}_2(H_{0n}, N))/p^{nd} = 0$  and  $\lim l(\text{Tor}_1(H_{0n}, N))/p^{nd} = 0$  (Proposition 1.2), we have

$$\lim l(H_{1n} \otimes N)/p^{nd} = \lim l(H_1(F^n(F_\bullet) \otimes N))/p^{nd}. \quad (1)$$

Now consider

$$0 \rightarrow N^1 \rightarrow A^s \rightarrow N \rightarrow 0. \quad (2)$$

Applying  $\otimes H_{1n}$ , we get

$$0 \rightarrow \text{Tor}_1(H_{1n}, N) \rightarrow H_{1n} \otimes N^1 \rightarrow H_{1n} \otimes A^s \rightarrow H_{1n} \otimes N \rightarrow 0 \cdots \quad (3)$$

By a proposition in [S, (1.6), Sect. 3] we know that

$$l(H_1(F^n(F_\bullet) \otimes A^s)) - l(H_1(F^n(F_\bullet) \otimes N)) - l(H_1(F^n(F_\bullet) \otimes N^1))$$

is a polynomial in  $n$  of degree  $\leq d - 1$ . Hence it follows from (1) and (3), that  $\lim l(\text{Tor}_1(H_{1n}, N))/p^{nd} = 0$ .

Now suppose we have proved our result for  $H_{in}$ ,  $\forall t \leq i$ . We will now use induction on  $i$ , to prove the required result for  $H_{i+1,n}$ .

Note that  $H_{i+1,n} \otimes N$ ,  $\text{Tor}_1(H_{in}, N)$ ,  $\text{Tor}_2(H_{i-1,n}; N)$ ,  $\dots, \text{Tor}_{i+1}(H_{0n}, N)$  are the  $E_2^{p,q}$  terms in the spectral sequence which converge to  $H_{i+1}(D_{\bullet\bullet}^n) = H_{i+1}(F^n(F_\bullet) \otimes N)$ . In this spectral sequence the quotient of  $E_2^{0,i+1}$ ,  $E_3^{0,i+1}$ , etc., is formed by images of submodules of  $\text{Tor}_2(H_{in}, N)$ ,  $\text{Tor}_3(H_{i-1,n}; N)$ , etc. Since the length of each of the  $E_2^{p,q}$  terms,  $p + q = i + 1$ ,  $p \neq 0$  and the length of each of  $\text{Tor}_s(H_{i-s+2}, N)$ , after being divided by  $p^{nd}$  tend to 0 in the limit, we have, by Lemma 1.1,

$$\lim l(H_{i+1,n} \otimes N)/p^{nd} = \lim l(H_{i+1}(F^n(F_\bullet) \otimes N))/p^{nd}. \quad (4)$$

Now from (2) we get

$$\begin{aligned} 0 \rightarrow \text{Tor}_1(H_{i+1,n}, N) \rightarrow H_{i+1,n} \otimes N^1 \rightarrow H_{i+1,n} \otimes A^s \\ \rightarrow H_{i+1,n} \otimes N \rightarrow 0. \end{aligned} \quad (5)$$

By Proposition (1.6) of [S, Sect. 3], we know that  $l(H_{i+1}(F^n(F_\bullet) \otimes A^s)) - l(H_{i+1}(F^n(F_\bullet) \otimes N^1)) - l(H_{i+1}(F^n(F_\bullet) \otimes N))$  is a polynomial in  $n$  of degree  $\leq d - 1$ . Hence it follows, from (4) and (5) that  $\lim l(\text{Tor}_1(H_{i+1,n}, N))/p^{nd} = 0$ .

**COROLLARY (1).** *Let  $F_\bullet, N$  be as above and let  $\dim N = d$ . Then  $\lim l(H_i(F^n(F_\bullet) \otimes N))/p^{nd} = \lim l(H_i(F^n(F_\bullet)) \otimes N)/p^{nd}$  for  $\forall i \geq 0$ .*

The proof follows directly from the proof of part (ii) of the above proposition.

**COROLLARY (2).** *Let  $P$  be a prime ideal of height  $i$  and let  $x_1, \dots, x_i$  be a part of a system of parameters contained in  $P$ . Then*

$$\begin{aligned} \lim l(H_j(F^n(F_\bullet) \otimes A/(x_1, \dots, x_i)) \otimes A/P)/p^{n(d-i)} \\ = \lim l(H_j(F^n(F_\bullet) \otimes A/P))/p^{n(d-i)}. \end{aligned}$$

The proof is immediate from the complex  $F_\bullet \otimes A/(x_1, \dots, x_i)$  and the above corollary.

COROLLARY (3). *Let  $M$  be a module with  $l(M) < \infty$ ,  $pdM < \infty$ , and  $P$  be as above. Then*

$$\begin{aligned} \lim l(\text{Tor}_j^A(F^n(M), A/x_1, \dots, x_i)) \otimes A/P / p^{n(d-i)} \\ = \lim l(\text{Tor}_j^A(F^n(M), A/P)) / p^{n(d-i)}. \end{aligned}$$

The proof is immediate from Corollary (2) above.

COROLLARY (4). *It makes sense to talk about  $\chi_\infty(H_i, N)$ . We define  $\chi_\infty(H_i, N) = \sum_{j \geq 0} (-1)^j \lim l(\text{Tor}_j^A(H_{in}, N)) / p^{nd}$  and by Proposition 1.3,  $\chi_\infty(H_i, N) = \lim l(H_i(F^n(F_\bullet) \otimes N)) / p^{nd}$ .*

*Remark.* When  $A$  is reduced, I have more direct proofs, without using Seibert's Proposition [S], for Proposition 1.2 and Proposition 1.3.

1.4. PROPOSITION. *Let  $F_\bullet$  be as above and let  $N$  be any finitely generated module. Then we have the following:*

- (i) *when  $\dim N < \dim A$ ,  $\lim l(\text{Ext}_A^j(H_i(F^n(F_\bullet)), N)) / p^{nd} = 0$*
- (ii) *when  $\dim N = \dim A$  and*
  - (a)  *$j < d$ , the above limit is 0;*
  - (b)  *$j = d$ ,  $\lim l(\text{Ext}_A^d(H_i(F^n(F_\bullet)), N)) / p^{nd} = \lim l(H_i(F^n(F_\bullet)) \otimes \tilde{N}) / p^{nd}$ ;*
  - (c)  *$j > d$ ,  $\lim l(\text{Ext}_A^d(H_i(F^n(F_\bullet)), N)) / p^{nd} = 0$ .*

*Proof.* We write  $T_n$  to denote  $H_i(F^n(F_\bullet))$ .

Let

$$I_\bullet: 0 \rightarrow I_0 \xrightarrow{\phi_0} I_1 \rightarrow \dots \rightarrow I_{d-1} \xrightarrow{\phi_{d-1}} I_d \rightarrow \dots$$

be a minimal injective resolution of  $N$ . Set  $Z_j = \text{Im } \phi_{j-1}$ . We get an exact sequence

$$0 \rightarrow Z_{j-1} \rightarrow I_{j-1} \rightarrow Z_j \rightarrow 0. \quad (1)$$

Applying  $\text{Hom}_A(T_n, -)$ , we get an exact sequence

$$\begin{aligned} 0 \rightarrow \text{Hom}(T_n, Z_{j-1}) \rightarrow \text{Hom}(T_n, I_{j-1}) \rightarrow \text{Hom}(T_n, Z_j) \\ \rightarrow \text{Ext}^j(T_n, N) \rightarrow 0. \end{aligned}$$

Writing  $-^v$  for  $\text{Hom}(-, E)$ , where  $E$  is the injective hull of  $k$ , we get from above

$$\begin{aligned} 0 \rightarrow \text{Ext}^j(T_n, N)^v \rightarrow T_n \otimes H_m^0(Z_j)^v \rightarrow T_n \otimes H_m^0(I_{j-1})^v \\ \rightarrow T_n \otimes H_m^0(Z_{j-1})^v \rightarrow 0. \end{aligned} \quad (2)$$

Note that since  $T_n$  is a module of finite length,  $\text{Hom}(T_n, Z) = \text{Hom}(T_n, H_m^0(Z_j))$  and since  $H_m^0(Z_j)$  is a module with descending chain condition and  $A$  is complete  $(H_m^0(Z_j)^v)^v$  is isomorphic to  $H_m^0(Z_j)$  and thus

$$\text{Hom}(T_n, Z_j) = \text{Hom}(T_n \otimes H_m^0(Z_j)^v, E),$$

i.e.,  $\text{Hom}(T_n, Z_j)^v = T_n \otimes H_m^0(Z_j)^v$ . Similar arguments hold for  $I_j$ . Again from (1), applying  $H_m^0(-)$ , we get an exact sequence

$$0 \rightarrow H_m^0(Z_{j-1}) \rightarrow H_m^0(I_{j-1}) \rightarrow H_m^0(Z_j) \rightarrow H_m^j(N) \rightarrow 0.$$

Applying  $\text{Hom}(-, E)$  to the above sequence we obtain

$$0 \rightarrow H_m^j(N)^v \rightarrow H_m^0(Z_j)^v \rightarrow H_m^0(I_{j-1})^v \rightarrow H_m^0(Z_{j-1})^v \rightarrow 0.$$

We break up the sequence into two short exact sequences

$$0 \rightarrow H_m^j(N)^v \rightarrow H_m^0(Z_j)^v \rightarrow D \rightarrow 0;$$

$$0 \rightarrow D \rightarrow H_m^0(I_{j-1})^v \rightarrow H_m^0(Z_{j-1})^v \rightarrow 0.$$

Note that  $H_m^0(I_{j-1})^v$  is a finitely generated free module and hence  $\text{Tor}_i^A(D, -) = \text{Tor}_{i+1}^A(H_m^0(Z_{j-1})^v, -)$  for  $i > 0$ . Tensoring the above sequences with  $T_n$ , we get from (2), an exact sequence

$$\begin{aligned} \rightarrow \text{Tor}_2^A(T_n, H_m^0(Z_{j-1})^v) \rightarrow H_m^j(N)^v \otimes T_n \rightarrow \text{Ext}^j(T_n, N)^v \\ \rightarrow \text{Tor}_1(T_n, H_m^0(Z_{j-1})^v) \rightarrow 0. \end{aligned} \quad (3)$$

(i) Since  $\dim N < \dim A$ ,  $\dim H_m^j(N)^v < \dim A$  the assertion follows from (3) by applying Proposition 1.3.

(ii) (a)  $j < d$ . The same argument as above completes the proof in this case.

(b)  $j = d$ . We get from (3) by Proposition 1.3,

$$\lim l(\text{Ext}^d(T_n, N))/p^{nd} = \lim l(T_n \otimes \tilde{N})/p^{nd}.$$

(c)  $j > d$ . In this case  $H_m^j(N)^v = 0$ ; thus (3) and Proposition 1.3 finish off the proof.



1.5. THEOREM. Let  $F_\bullet$  be as in the notations prior to Section 1. Let  $N$  be a finitely generated module. Let  $W_{j,n}$  denote the  $j$ th homology of  $\text{Hom}(F^n(F_\bullet), N)$ . We have the following:

- (i) If  $\dim N < \dim A$ ,  $\lim l(W_{j,n})/p^{nd} = 0$ .
- (ii) If  $\dim N = \dim A$  and
  - (a)  $j < d$ ,  $\lim l(W_{j,n})/p^{nd} = 0$ ;
  - (b)  $j = d$ ,  $\lim l(W_{d,n})/p^{nd} = \lim l(F^n(H_0(F_\bullet)) \otimes \tilde{N})/p^{nd}$  which is positive;
  - (c)  $j > d$ ,  $\lim l(W_{j,n})/p^{nd} = \lim l(H_{j-d}(F^n(F_\bullet)) \otimes \tilde{N})/p^{nd}$ .

*Proof.* We consider the double complex  $D_n^{\bullet\bullet} = \text{Hom}(F_\bullet, {}^{f^n}I_\bullet)$ , where  $I_\bullet$  is a minimal injective resolution of  $N$ . Let  $V_{j,n}$  denote the  $j$ th homology of  $D_n^{\bullet\bullet}$ . Notice that  $\text{Hom}_A(F_r, {}^{f^n}I_s) = \text{Hom}(F^n(F_r), I_s)$  and since  $F_r$  is free,  $F^n(F_r)$  is also so.

We have the commutative diagram

$$\begin{array}{ccc} \text{Hom}(F_r, {}^{f^n}I_s) & \longrightarrow & \text{Hom}(F_r, {}^{f^n}I_{s+1}) \\ \downarrow & & \downarrow \\ \text{Hom}(F_{r+1}, {}^{f^n}I_s) & \longrightarrow & \text{Hom}(F_{r+1}, {}^{f^n}I_{s+1}) \end{array}$$

Since  $I_\bullet$  is exact except at  $s = 0$ , the horizontal rows of  $D_n^{\bullet\bullet}$  are exact except at  $s = 0$ . Hence from the spectral sequence obtained by considering the horizontal rows first, we get  $V_{j,n} = W_{j,n}$ .

Now we consider the vertical rows first and the spectral sequence thus obtained has

$$E_{2,n}^{r,s} = \text{Ext}^s(H_r(F^n(F_\bullet)), N).$$

The required conclusions now follow from Proposition 1.4.

*Remark.* When  $F_\bullet$  is a free resolution of a module  $M$  of finite length, it can be easily shown from above arguments that for any finitely generated module  $N$

$$\lim l(W_{d+1,n})/p^{nd} = \lim l(\text{Tor}_1^A(M, {}^{f^n}A) \otimes \tilde{N})/p^{nd}.$$

1.6. COROLLARY (to Theorem 1.5). Let  $F_\bullet$  be a free complex with finite length homologies and  $I_\bullet = (I_n)_{n \geq 0}$  be a complex of modules with finitely generated homologies. We write  $M = H_0(F_\bullet)$  and  $N = H_0(I_\bullet)$ , and denote the double complex  $\text{Hom}(F_\bullet, {}^{f^n}I_\bullet)$  by  $D_n^{\bullet\bullet}$ . Let  $W_{t,n}$  denote the  $t$ th homology of  $D_n^{\bullet\bullet}$ . Then

$$\text{for } t < d, \quad \lim l(W_{t,n})/p^{nd} = 0$$

and

$$\text{for } t = d, \quad \lim l(W_{d,n})/p^{nd} = \lim l(F^n(M) \otimes N)/p^{nd}.$$

*Proof.* Consider the commutative diagram

$$\begin{array}{ccc} \text{Hom}(F_r, {}^{f^n}I_s) & \longrightarrow & \text{Hom}(F_r, {}^{f^n}I_{s+1}) \\ \downarrow & & \downarrow \\ \text{Hom}(F_{r+1}, {}^{f^n}I_s) & \longrightarrow & \text{Hom}(F_{r+1}, {}^{f^n}I_{s+1}) \end{array}$$

We consider the spectral sequence obtained by taking the horizontal rows first and get

$$E_{2,n}^{r,s} = H_r(\text{Hom}(F_\bullet, {}^{f^n}H_s(I_\bullet))).$$

Now repeated applications of Theorem 1.5 complete the proof.

## 2

In this section we state several applications of Theorem (1.5). These are more general than the corresponding theorems in [D2]; but the proofs are identical almost to the word. Hence we do not provide any proof here; instead we request the reader to consult [D2].

**2.1. THEOREM.** *Let  $F_\bullet$  be a finite free complex:*

$$0 \rightarrow A^{t_s} \rightarrow A^{t_{s-1}} \rightarrow \cdots \rightarrow A^{t_1} \rightarrow A^{t_0} \rightarrow 0.$$

*Assume*

- (i)  $l(H_i(F_\bullet)) < \infty$  for  $i > 0$ ,
- (ii)  $H_m^0(H_0(F_\bullet)) \neq 0$ , and
- (iii)  $H_0(F_\bullet)$  is locally free on  $\text{spec } A - \{m\}$ . We define, for any finitely generated module  $N$  with  $\text{depth } N > 0$

$$\chi(F_\bullet, N) = l(H_m^0(F_\bullet \otimes N)) - l(H_1(F_\bullet \otimes N)) + l(H_2(F_\bullet \otimes N)) \cdots$$

and

$$\chi_\infty(F_\bullet, N) = \lim \chi(F^n(F_\bullet), N)/p^{nd}.$$

If  $s < d$ ,  $\chi_\infty(F_\bullet, N) = 0$ ; if  $s = d$  and  $\dim N = d$ ,  $\chi_\infty(F_\bullet, N) > 0$ .

*Proof.* See the proof of Theorem (1.7) in [D2].

*Remark.* The above theorem establishes the following observation: Let  $M$  be a finitely generated module, locally free on  $\text{spec } A - \{m\}$  with depth  $M = 0$ . Let  $F_\bullet$  be as in the above theorem with  $s < d$  and  $H_0(F_\bullet) \simeq M$ . Then there cannot exist a minimal free complex  $L_\bullet$  of magnitude  $d$ ,  $l(H_i(L_\bullet)) < \infty$  for  $i > 0$ , such that  $H_0(L_\bullet) \simeq M$ .

2.2. Let  $F_\bullet$  be a finite free complex with finite length homologies and let the magnitude of  $F_\bullet$  be  $s + d$ . We impose the following grading on  $F_\bullet^* = \text{Hom}_A(F_\bullet, A)$ :  $(F_\bullet^*)_i = (F_{s+d-i})^*$ . In the next theorem we are going to find out the relation between  $\chi_\infty(F_\bullet, N)$  and  $\chi_\infty(F_\bullet^*, N)$ .

**THEOREM.** Let  $F_\bullet$  be as above and let  $N$  be a finitely generated module. Then

- (i) if  $\dim N < d$ ,  $\chi_\infty(F_\bullet, N) = 0$
- (ii) if  $\dim N = d$ , then  $\chi_\infty(F_\bullet, N) = (-1)^s \chi_\infty(F_\bullet^*, N)$ .

*Proof.* See the proof of Proposition (1.8) in [D2].

2.3. Now we furnish a proof of the improved new intersection conjecture in characteristic  $p (> 0)$  by using Theorem 2.1 above.

**THEOREM.** Let  $(A, m, k)$  be as in the Notations. Let  $F_\bullet$  be a finite free complex  $0 \rightarrow F_s \rightarrow F_{s-1} \rightarrow \cdots \rightarrow F_1 \rightarrow F_0 \rightarrow 0$  such that  $l(H_i(F_\bullet)) < \infty$  for  $i > 0$  and  $H_0(F_\bullet)$  has a minimal generator (non-zero) killed by a power of  $m$ . Then  $\dim A \leq s$ .

*Proof.* See the proof of Theorem (2.2) in [D2].

2.4. *Remark.* The Remark at the end of Theorem 2.1 can be extended to prove the following result:

**THEOREM.** Let  $(A, m, k)$  be as above. Let  $F_\bullet = (F_i)_{i \geq 0}$  and  $G_\bullet = (G_i)_{i \geq 0}$  be two finite free complexes of magnitudes  $r$  and  $s$ , respectively, such that

- (i)  $r \neq s$ ,  $r, s \leq d$ ,
- (ii)  $l(H_i(F_\bullet)) < \infty$ ,  $l(H_i(G_\bullet)) < \infty$  for  $i > 0$ , and
- (iii)  $H_0(F_\bullet)$  and  $H_0(G_\bullet)$  are locally free on  $\text{spec } A - \{m\}$ . Then  $H_0(F_\bullet)$  is not isomorphic to  $H_0(G_\bullet)$ .

The author can prove the above result in the mixed characteristic case when the mixed characteristic  $p (> 0)$  is a non-zero-divisor on  $A$  and both  $r, s \leq d - 1$ .

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